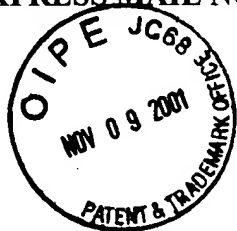


EXPRESS MAIL NO.: EL615209922US



HOWREY SIMON ARNOLD & WHITE, LLP
301 Ravenswood Avenue
Box 34
Menlo Park, CA 94025
(650) 463-8109

RECEIVED
DEC 18 2001
TECH CENTER 1600/2900

FORM PTO-1083

Attorney Docket No.: 03528.0038.US00

THE COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

In re application of: Stefan JOOS and Peter LICHTER
Appl. No.: 09/171,854
Filed: October 22, 1998
For: **IDENTIFICATION OF NUMERICAL CHANGES
IN CELL DNA**

Transmitted herewith are the following:

1. Form PTO-1083;
2. Fee Transmittal
3. Petition for Extension of Time;
4. Response to Office Action;
5. Clean Version of Pending Claims;
6. Version With Markings to Show Changes Made;
7. Substitute Specification Pursuant to 37 C.F.R. 1.125
8. Substitute Specification;
9. Red-lined copy of Specification to Show Changes Made;
10. Notice of Appeal;
11. Check for \$620.00; and
12. Return Receipt Postcard

No Claim Fee is required.

The claim fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total Claims	9	MINUS	20	= 0
Indep. Claims	1	MINUS	3	= 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

SMALL ENTITY	
Rate	Addit. Fee
x 9 =	\$ 00.00
x 40 =	\$ 00.00
+140 =	\$
Total Addit. Fee	\$

Or

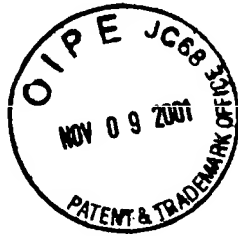
OTHER THAN A SMALL ENTITY	
Rate	Addit. Fee
x 18 =	\$ 00.00
x 80 =	\$ 00.00
+ 280 =	\$ 00.00
TOTAL	\$ 00.00

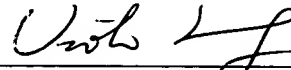
Or

EXPRESS MAIL NO.: EL615209922US

xx The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038 referencing Atty. Dkt. No. 03528.0038.US00. A duplicate copy of this sheet is attached.

Date: November 9, 2001





Albert P. Halluin (Reg. No. 25,227)
Viola T. Kung (Reg. No. 41,131)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$620.00)

Complete if Known

Application Number 09/171,854
Filing Date October 22, 1998
First Named Inventor Stefan JOOS
Examiner Name Sisson, B.
Group Art Unit 1655
Attorney Docket No. 03528.0038.US00

RECEIVED
DEC 18 2001
FEE CENTER 1600/2900

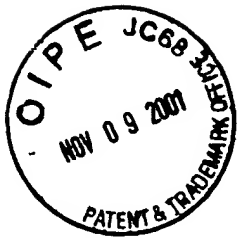
METHOD OF PAYMENT					FEE CALCULATION (continued)																																																																																																																																																																																		
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Acct. No. 08-3038 Deposit Account Name _____ <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Fee</th> <th>Entity Fee</th> <th>Small Fee</th> <th>Entity Fee</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td>460</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td>160</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>					Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for ex parte reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	920	217	460	Extension for reply within third month	460	118	1,440	218	720	Extension for reply within fourth month		128	1,960	228	980	Extension for reply within fifth month		119	320	219	160	Notice of Appeal	160	120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,280	241	640	Petition to revive - unintentional		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application	
Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid																																																																																																																																																																																		
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																			
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																			
139	130	139	130	Non-English specification																																																																																																																																																																																			
147	2,520	147	2,520	For filing a request for ex parte reexamination																																																																																																																																																																																			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																			
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																			
115	110	215	55	Extension for reply within first month																																																																																																																																																																																			
116	400	216	200	Extension for reply within second month																																																																																																																																																																																			
117	920	217	460	Extension for reply within third month	460																																																																																																																																																																																		
118	1,440	218	720	Extension for reply within fourth month																																																																																																																																																																																			
128	1,960	228	980	Extension for reply within fifth month																																																																																																																																																																																			
119	320	219	160	Notice of Appeal	160																																																																																																																																																																																		
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																																			
121	280	221	140	Request for oral hearing																																																																																																																																																																																			
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																			
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																			
141	1,280	241	640	Petition to revive - unintentional																																																																																																																																																																																			
142	1,280	242	640	Utility issue fee (or reissue)																																																																																																																																																																																			
143	460	243	230	Design issue fee																																																																																																																																																																																			
144	620	244	310	Plant issue fee																																																																																																																																																																																			
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																			
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																			
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																																			
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																			
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																			
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																			
179	740	279	370	Request for Continued Examination (RCE)																																																																																																																																																																																			
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																			
2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Independent Claims</th> <th>Multiple Dependent</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>9</td> <td>1</td> <td></td> <td>-20** =</td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td>- 3** =</td> <td></td> <td></td> </tr> </tbody> </table>					Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid	9	1		-20** =						- 3** =			1. BASIC FILING FEE <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td></td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table>					Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee		106	330	206	165	Design filing fee		107	510	207	255	Plant filing fee		108	740	208	370	Reissue filing fee		114	160	214	80	Provisional filing fee																																																																																																																									
Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																		
9	1		-20** =																																																																																																																																																																																				
			- 3** =																																																																																																																																																																																				
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																		
101	740	201	370	Utility filing fee																																																																																																																																																																																			
106	330	206	165	Design filing fee																																																																																																																																																																																			
107	510	207	255	Plant filing fee																																																																																																																																																																																			
108	740	208	370	Reissue filing fee																																																																																																																																																																																			
114	160	214	80	Provisional filing fee																																																																																																																																																																																			
SUBTOTAL (1) (\$)					SUBTOTAL (2) (\$)																																																																																																																																																																																		
2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>84</td><td>209</td><td>44</td><td>** Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td></tr> </tbody> </table>					Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	84	202	42	Independent claims in excess of 3	104	280	204	140	Multiple dependent claim, if not paid	109	84	209	44	** Reissue independent claims over original patent	110	18	210	9	** Reissue claims in excess of 20 and over original patent	SUBTOTAL (3) (\$)																																																																																																																																																				
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description																																																																																																																																																																																			
103	18	203	9	Claims in excess of 20																																																																																																																																																																																			
102	84	202	42	Independent claims in excess of 3																																																																																																																																																																																			
104	280	204	140	Multiple dependent claim, if not paid																																																																																																																																																																																			
109	84	209	44	** Reissue independent claims over original patent																																																																																																																																																																																			
110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																			

SUBMITTED BY

Name (Print/Type) Albert P. Halluin/Viola T. Kung
 Signature *Albert P. Halluin*
 Registration No. 25,227/41,131
 Telephone 65-463-8109
 Date Nov. 9, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on The amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



12-10-01

AF# 685

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900

DEC 18 2001

RECEIVED

In re application of:

Stefan JOOS, *et al.*

Appl. No. 09/171,854

Filed: October 22, 1998

**For: IDENTIFICATION OF NUMERICAL
CHANGES IN CELL DNA**

Art Unit: 1655

Examiner: Sisson, B.

Atty. Docket: 03528.0038.US00

BOX AF

Commissioner for Patents
Washington, D.C. 20231

Express Mail Certificate

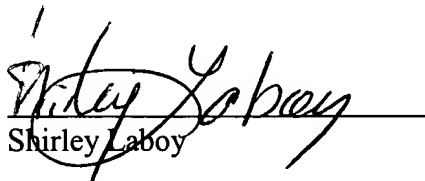
"Express Mail" label number **EL615209922US**

Date of Deposit November 9, 2001

I hereby certify that the following **attached** papers and fee

1. Form PTO-1083;
2. Fee Transmittal
3. Petition for Extension of Time;
4. Response to Office Action;
5. Clean Version of Pending Claims;
6. Version With Markings to Show Changes Made;
7. Substitute Specification Pursuant to 37 C.F.R. 1.125
8. Substitute Specification;
9. Red-lined copy of Specification to Show Changes Made;
10. Notice of Appeal;
11. Check for \$620.00; and
12. Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231, or to the Commissioner for Patents, at the same address.


Shirley Laboy